

Attorney Docket No.: 74451.P106

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kurt W. Piersol et al.

Application No.: 09/439,152

Filing Date: November 12, 1999

For: A SYSTEM FOR CAPTURING  
FACSIMILE DATA IN AN  
ELECTRONIC DOCUMENT  
MANAGEMENT SYSTEM

Examiner: Arthur G. Evans

Art Unit: 2622

Confirmation No. 1294

#15

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND PETITION  
UNDER 37 C.F.R. §1.48(a) TO CORRECT INVENTORSHIP**

Dear Sir:

The undersigned hereby respectfully requests and petitions that the above-referenced application be amended under 37 C.F.R. §1.48(a) to correct inventorship of the application.

The application was filed on November 12, 1999, naming the following persons as inventors of the present patent application:

(1) Kurt W. Piersol, a citizen of the United States and having a residence address of 335 Park Way, Santa Cruz, California 95062;

(2) Tatsuo Ito, a citizen of Japan and having a residence address of 4-12-7, #403 Fuchinobe, Sagamihara, Kanagawa, Japan (2290006).

Please correct and amend the present patent application so that Hirosuke Kainuma, a citizen of Japan and having a residence address of 22-239 Matsukazedai, Aobadai, Yokohama, Kanagawa, Japan 227-0067, is additionally named as joint inventor, so that

Kurt W. Piersol, Tatsuo Ito, and Hirosuke Kainuma, are then named as joint inventors of the present patent application.

It is respectfully submitted that the error in inventorship of the present patent application was made without any deceptive intent by anyone, including the actual inventors.

Enclosed with this Amendment and Petition are the following documents:

- (1) a verified Statement of Facts by Hirosuke Kainuma stating that the error in inventorship of the present patent application was made without any deceptive intent by anyone, including the actual inventors;
- (2) an executed Declaration/Power of Attorney indicating all inventors;
- (3) an Assent of Assignee for Correction of Inventorship with a copy of the previously recorded Assignment document;
- (4) an Assignment by the additional inventor, Hirosuke Kainuma, to be recorded in accordance with 47 C.F.R. §1.331. Please find enclosed a check in the amount of \$40.00 to cover the recordation fee required by 37 C.F.R. §1.21(h).


Also enclosed is a check in the amount of \$130.00 in payment of the fee under 37 C.F.R. §1.71(i) for correction of inventorship.

Please charge any shortages or credit any overages to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: 2/26/05, 2005

  
\_\_\_\_\_  
Michael J. Mallie  
Attorney for Applicant  
Reg. No. 36,591

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

Attorney Docket No.: 74451.P106

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#14

In re Application of:

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Alexandria, VA 22313-1450

**ASSENT OF ASSIGNEE UNDER 37 C.F.R. §3.73(b)  
FOR CORRECTION OF INVENTORSHIP**

Dear Sir:

Attached please find a copy of the Recordation of Assignment document that is currently on file with the U.S. Patent and Trademark Office concerning the above-noted application. The Assignment document is being submitted to provide evidence of chain of title for this application.

Assignee, Ricoh Co., Ltd., having a place of business at 15-5 Minami Aoyama 1-Chome, Minato-Ku, Tokyo 107-8544, Japan, does hereby assent to the correction of inventorship, the petition for which is filed herewith, which seeks to add Hirosuke Kainuma as an additional inventor in the above-referenced application. The undersigned, Michael J.

Mallie, does hereby declare, under penalty of perjury, that he is authorized to act on behalf of Ricoh Co., Ltd. to make this Assent of Assignee for Correction of Inventorship.

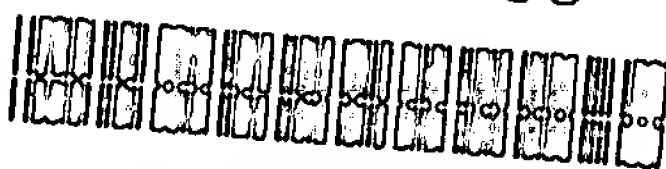
Respectfully submitted,

3/20, 2005

Michael J. Mallie  
Attorney for Applicant  
Reg. No. 36,591

PATENT

03-22-2000



FEB 14

RE

EET

101296193

2-14-00

TO: The Commissioner of Patents and Trademarks : Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New  
☐ Resubmission (Non- Recordation)

Document ID # \_\_\_\_\_

- ☐ Correction of PTO Error

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

- ☐ Corrective Document

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Attorney Docket No: 74451.P106

Conveyance Type

- ☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other \_\_\_\_\_

*U.S. Government*

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party (ies)

- ☐ Mark if additional names of conveying parties attached

Name (1st party) Kurt W. Piersol

Execution Date  
Month Day Year  
1/18/00

Name (2nd party) Tatsuo Ito

1/17/00

Name (3rd party) \_\_\_\_\_

Name (4th party) \_\_\_\_\_

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Ricoh Co., Ltd.

Name (line 2) \_\_\_\_\_

Address (line 1) 15-5 Minami-Aoyama 1-Chome Minato-ku

Address (line 2) \_\_\_\_\_

Address (line 3) Tokyo

Japan

107-8544

City

State/Country

Zip Code

☒ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

(Complete only if receiving party is not domiciled in the United States)

Enter for the first Receiving Party only.

Name Ricoh Silicon Valley

Address (line 1) 2882 Sand Hill Road

Address (line 2) Suite 115

Address (line 3) Menlo Park, California 94025-7022

Address (line 4) \_\_\_\_\_

03/21/2000 EXJVEN 0000012 0343912

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number 408-720-8300

Name Blakely, Sokoloff, Taylor & Zafman LLP

Address (line 1) 12400 Wilshire Boulevard

Address (line 2) 7th Floor

Address (line 3) Los Angeles, California 90025

Address (line 4) \_\_\_\_\_

Pages

Enter the total number of pages of the attached conveyance document.

# 2

Application Number (s) or Patent Number (s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number (s)

09439152

Patent Number (s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a  
U.S. Application Number has not been  
assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

# 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-2666

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Michael J. Mallie

36,591

Name of Person Signing

Registration No.

Signature

Date

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231



Attorney's Docket

No.: 74451.P106

ASSIGNMENT

PATENT

(For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We

the undersigned, Kurt W. Piersol and Tatsuo Ito

hereby sell, assign, and transfer to Ricoh Co., Ltd.

a corporation of Japan, having a principal place of business at 15-5 Minami-Aoyama 1-Chome Minato-ku, Tokyo 107-8544 Japan, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that was filed November 12, 1999 and assigned Application No. 09/439,152 and is entitled

"A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM"

and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: January 18, 192000

[Signature]  
Name: Kurt W. Piersol

Date: January 17, 192000

[Signature]  
Name: Tatsuo Ito

Date: \_\_\_\_\_, 19\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_, 19\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_, 19\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_, 19\_\_

Name: \_\_\_\_\_

State of: \_\_\_\_\_}

County of: \_\_\_\_\_}

SS.

Assignment Document Return Address:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Blvd., Seventh Floor  
Los Angeles, California 90025-1026  
(408) 720-8598

On this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_, before me, \_\_\_\_\_,  
the undersigned Notary Public, personally appeared \_\_\_\_\_

☐ personally known to me ☐ proved to me on the basis of satisfactory evidence to  
be the person(s) whose name(s) subscribed to the within instrument, and  
acknowledged that \_\_\_\_\_ executed it.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary's Signature



To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kurt W. Piersol; Tatsuo Ito; Hirosuke Kainuma

2. Name and address of receiving party(ies):

Name: **Ricoh Co., Ltd.**

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

Internal Address: \_\_\_\_\_

Street Address: **15-5 Minami Aoyama 1-Chome,  
Minato-Ku**

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

City: **Tokyo** State: Zip: **107-8544**

Country: **Japan**

Execution Date: **February 10, 2005; March 8, 2005;  
March 18, 2005**

Additional name(s) & address(es) attached? ☒ No ☐ Yes

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

**09/439,152**

B. Patent No.(s)

Additional numbers attached? ☒ No ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Blakely, Sokoloff, Taylor & Zafman LLP**

Internal Address: \_\_\_\_\_

Street Address: **12400 Wilshire Boulevard  
7th Floor**

City: **Los Angeles** State: **CA** ZIP: **90025**

6. Total number of applications and patents involved:

**1**

7. Total Fee (37 CFR 3.41).....\$ **40.00**

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit Account Number:

**02-2666**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*

**Michael J. Mallie**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

**3**

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
Director of the US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney's Docket  
No.: 74451.P106

A S S I G N M E N T  
(For Execution After Filing Patent Application)

PATENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We, \_\_\_\_\_

the undersigned, Kurt W. Piersol, Tatsuo Ito, and Hiroshige Kainuma

hereby sell, assign, and transfer to Ricoh Co., Ltd.

a corporation of Japan, having a principal place of business at 15-5 Minami Aoyama 1-Chome, Minato-Ku, Tokyo 107-8544, Japan, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that was filed November 12, 1999, and assigned Application No. 09/439,152 and is entitled


"A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN  
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and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

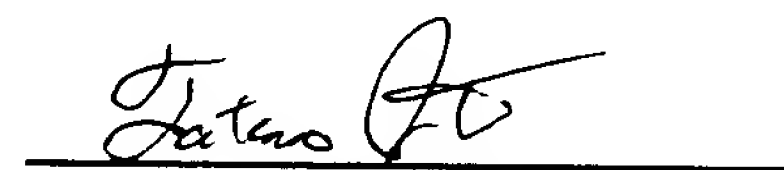
agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 19 Feb, 2005

  
Name: Kurt W. Piersol

Date: 8 Mar., 2005

  
Name: Tatsuo Ito

Date: 18. Mar., 2005

  
Name: Hirosuke Kainuma

State of: \_\_\_\_\_

County of: \_\_\_\_\_

SS.

Assignment Document Return Address:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Blvd., Seventh Floor  
Los Angeles, California 90025-1026  
(408) 720-8598

On this \_\_\_\_\_ day of \_\_\_\_\_ 2005, before me, \_\_\_\_\_,  
the undersigned Notary Public, personally appeared \_\_\_\_\_

☐ personally known to me ☐ proved to me on the basis of satisfactory evidence to  
be the person(s) whose name(s) subscribed to the within instrument, and  
acknowledged that executed it.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary's Signature



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 12, 2000

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 WILSHIRE BOULEVARD  
7TH FLOOR  
LOS ANGELES, CALIFORNIA 90025

RECEIVED  
MAY 22 2000



\*101296193A\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

74451 P106

MTM

Rush Corporation

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/14/2000

REEL/FRAME: 010616/0121  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
PIERSOL KURT W.

DOC DATE: 01/18/2000

ASSIGNOR:  
ITO, TATSUO

DOC DATE: 01/17/2000

ASSIGNEE:  
RICOH CO., LTD.  
15-5 MINAMI-AOYAMA 1-CHOME MINATO-KU  
TOKYO, JAPAN 107-8544

SERIAL NUMBER: 09439152  
PATENT NUMBER:

FILING DATE: 11/12/1999  
ISSUE DATE:

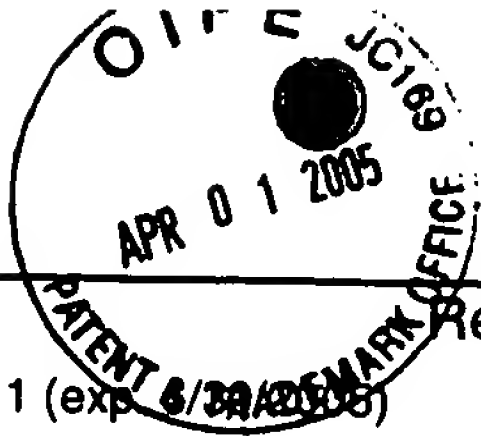
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MAY 23 2000

STATUS DB-LA

010616/0121 PAGE 2

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



04-12-2005



102978542

*Duplicate*

FORM PTO-1595  
(Rev. 10/02)  
OMD No. 0651-0011 (exp. 6/30/2005)

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

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**Kurt W. Piersol; Tatsuo Ito; Hirosuke Kainuma**

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☒ No ☐ Yes

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- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

City: **Tokyo** State: Zip: **107-8544**

Country: **Japan**

Execution Date: **February 10, 2005; March 8, 2005;  
March 18, 2005**

Additional name(s) & address(es) attached? ☒ No ☐ Yes

4. Application number(s) or patent number(s):

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**09/439,152**

B. Patent No.(s)

Additional numbers attached? ☒ No ☐ Yes

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Name: **Blakely, Sokoloff, Taylor & Zafman LLP**

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Street Address: **12400 Wilshire Boulevard  
7th Floor**

City: **Los Angeles** State: **CA** ZIP: **90025**

6. Total number of applications and patents involved: **1**

7. Total Fee (37 CFR 3.41).....\$ **40.00**

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit Account Number:

**02-2666**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*

**Michael J. Mallie**

Name of Person Signing

Signature

**3/20/05**

Date

Total number of pages including cover sheet, attachments, and document: **3**

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
Director of the US Patent and Trademark Office

P.O. Box 1450  
Alexandria, VA 22313-1450

04/11/2005 ECDOPER 00000080 09439152

01 FC:8021

40.00 DP

Docket No. 74451P106



Attorney's Docket  
No.: 74451.P106

ASSIGNMENT  
(For Execution After Filing Patent Application)

PATENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We,

the undersigned, Kurt W. Piersol, Tatsuo Ito, and Hirosuke Kainuma

hereby sell, assign, and transfer to Ricoh Co., Ltd.

a corporation of Japan, having a principal place of business at 15-5 Minami Aoyama 1-Chome, Minato-Ku, Tokyo 107-8544, Japan, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that was filed November 12, 1999, and assigned Application No. 09/439,152 and is entitled

"A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN  
ELECTRONIC DOCUMENT MANAGEMENT SYSTEM"


and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

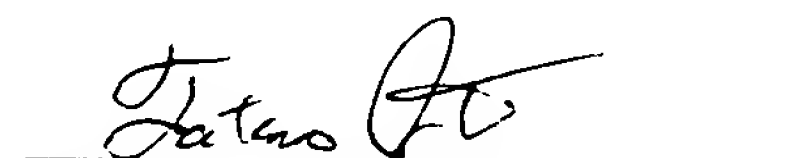


covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 10 Feb, 2005

  
Name: Kurt W. Piersol

Date: 8 Mar., 2005

  
Name: Tatsuo Ito

Date: 18 Mar., 2005

  
Name: Hirosuke Kainuma

State of: \_\_\_\_\_ }  
County of: \_\_\_\_\_ } SS.

Assignment Document Return Address:  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Blvd., Seventh Floor  
Los Angeles, California 90025-1026  
(408) 720-8598

On this \_\_\_\_\_ day of \_\_\_\_\_ 2005, before me, \_\_\_\_\_,  
the undersigned Notary Public, personally appeared \_\_\_\_\_

☐ personally known to me ☐ proved to me on the basis of satisfactory evidence to  
be the person(s) whose name(s) subscribed to the within instrument, and  
acknowledged that \_executed it.  
WITNESS my hand and official seal.

\_\_\_\_\_  
Notary's Signature

RECEIVED

APR 07 2005

BLAKELY, BOKOLOFF, TAYLOR & ZAFMAN LLP  
LOS ANGELES

Serial/Patent No.: 09/439,152 Filing/Issue Date: 12/12/99  
Client: Ricoh Corp  
Title: SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT  
MANAGEMENT SYSTEM  
BSTZ File No.: 74451.P106 Atty/Secty Initials: MJM/amq  
Date Mailed: 3/28/05 Docket Due Date: 3/28/05

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Amendment/Response ( <u>7</u> pgs.)                                  | <input type="checkbox"/> Express Mail No.: <u>                    </u> <input checked="" type="checkbox"/> Check No. <u>71233</u>                     |
| <input type="checkbox"/> Appeal Brief ( <u>      </u> pgs.) (in triplicate)                              | <input type="checkbox"/> <u>      </u> Month(s) Extension of Time Amt: <u>1,430.00</u>  |
| <input type="checkbox"/> Application - Utility ( <u>      </u> pgs., with cover and abstract)            | <input type="checkbox"/> Information Disclosure Statement & PTO-1449 ( <u>      </u> pgs.) <input checked="" type="checkbox"/> Check No. <u>71234</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation ( <u>      </u> pgs.)                   | <input checked="" type="checkbox"/> Issue Fee Transmittal Amt: <u>40.00</u>   |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>      </u> pgs.)                     | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP ( <u>      </u> pgs.)                            | <input type="checkbox"/> Petition for Extension of Time   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal ( <u>      </u> pgs.)                | <input type="checkbox"/> Petition for <u>                    </u>   |
| <input type="checkbox"/> Application - Design ( <u>      </u> pgs.)                                      | <input checked="" type="checkbox"/> Postcard  |
| <input type="checkbox"/> Application - PCT ( <u>      </u> pgs.)   | <input type="checkbox"/> Power of Attorney ( <u>      </u> pgs.)  |
| <input type="checkbox"/> Application - Provisional ( <u>      </u> pgs.)                                 | <input type="checkbox"/> Preliminary Amendment ( <u>      </u> pgs.)  |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet   | <input type="checkbox"/> Reply Brief ( <u>      </u> pgs.)  |
| <input checked="" type="checkbox"/> Certificate of Mailing   | <input type="checkbox"/> Response to Notice of Missing Parts  |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>3</u> pgs.)                                   | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business  |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter ( <u>      </u> pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate  |
| <input checked="" type="checkbox"/> Drawings: <u>      </u> # of sheets includes <u>      </u> figures   | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate   |

☒ Other: Payment of Issue Fee (1 pg.); Submission of Formal Drawings (1 pg.); Amendment and Petition to Correct Inventorship (2 pgs.); Statement of Facts (2 pgs.); Assent of Assignee (2 pgs.); Copy of prior Assignment (6 pgs.).





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AUGUST 23, 2005

PTAS

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12400 WILSHIRE BOULEVARD  
7TH FLOOR  
LOS ANGELES, CA 90025



\*102978542A\*

74451.P106  
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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 04/01/2005

REEL/FRAME: 016437/0964  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PIERSOL, KURT W.

DOC DATE: 02/10/2005

ASSIGNOR:

ITO, TATSUO

DOC DATE: 03/08/2005

ASSIGNOR:

KAINUMA, HIROSUKE

DOC DATE: 03/18/2005

ASSIGNEE:

RICOH CO., LTD.  
15-5 MINAMI AOYAMA 1-CHOME  
MINATO-KU, TOKYO 107-8544, JAPAN

ENTERED  
ENTERED  
AUG 31 2005  
STATUS DB-LA

SERIAL NUMBER: 09439152

FILING DATE: 11/12/1999

PATENT NUMBER:

ISSUE DATE:

TITLE: SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT  
MANAGEMENT SYSTEM

016437/0964 PAGE 2

LENELL MACKALL, SUPERVISOR  
ASSIGNMENT DIVISION  
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March 25, 2005

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074451.P106 - Ricoh Corporation

MJM/amq

Issue fee plus advance order for patent copies  
for 'SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DO  
CUMENT MANAGEMENT SYSTEM'

App. No. 09/439,152

Filed: 11/12/99

Inv.: Kurt W. Piersol

71233

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074451.P106-Ricoh Corporation  
MJM/amq  
Assignment Recordation filing fee  
for 'SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DO  
CUMENT MANAGEMENT SYSTEM'  
App. No. 09/439,152  
Filed: 11/12/99  
Inv.: Kurt W. Piersol

71240

**AMENDMENT TRANSMITTAL****PATENT**

Application No.: 09/439,152  
Filing Date: November 12, 1999  
First Named Inventor: Kurt W. Piersol  
Examiner's Name: Evans, Arthur G.  
Art Unit: 2622  
Attorney Docket No.: 74451.P106


- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.  
☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.  
☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.  
☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**

- ☒ Amendment Under 37 C.F.R. § 1.312  
☐ Amendment/Response with respect to Office Action  
☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)  
☐ Notice of Appeal  
☐ RCE (Request for Continued Examination)  
☐ Supplemental Declaration  
☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)  
☐ Information Disclosure Statement (IDS)  
☐ Copies of IDS citations  
☐ Petition for Extension of Time  
☐ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)  
☐ Cross-Reference to Related Application(s)  
☒ Other: Payment of Issue Fee; Issue Fee Transmittal (1 page)  
☒ Other: Replacement Sheet (1 page) Annotated Sheet Showing Changes (1 page)  
☒ Other: Submission Of Formal Drawings, 1 page, 9 sheets of Formal Drawings  
☒ Check(s)  
☒ Postcard (Return Receipt)

**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN LLP

TYPED OR PRINTED NAME: Michael J. Mallie, Reg. No. 36,591SIGNATURE:  DATE: March 21, 2005ADDRESS: 12400 Wilshire Boulevard, Seventh FloorLos Angeles, California 90025TELEPHONE NO.: (408) 720-8300**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 25, 2005.

Angela Quinn

Name of Person Mailing Correspondence

Signature Date 3-28-05**Send to:** COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, Virginia 22313-1450

(10/14/03)



LISTING OF RELATED US AND FOREIGN CASES, IF ANY FOR POSSIBLE IDS FILING

Client No.

Matter No.

Title

RICOH CORPORATION

Status:

74451

P106

A SYSTEM FOR AUTOMATIC CAPTURE OF FAXES USING  
INTERNET FAX PROTOCOLS - UTILITY

ACTIVE

**FEE TRANSMITTAL FOR FY 2005**

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**TOTAL AMOUNT OF PAYMENT (\$)** 1,600.00**Complete if Known:**

Application No. 09/439,152  
 Filing Date November 12, 1999  
 First Named Inventor Kurt W. Piersol  
 Examiner Name Arthur G. Evans  
 Art Unit 2622  
 Attorney Docket No. 74451.P106

Applicant claims small entity status. See 37 CFR 1.27.

**METHOD OF PAYMENT** (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify)

**Deposit Account**Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

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☒ Credit any overpayments.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>		<u>Fees Paid (\$)</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>			
1011	300	2011	150	Utility application filing fee	} 1,000/500	_____
1111	500	2111	250	Utility search fee		_____
1311	200	2311	100	Utility examination fee		_____
1012	200	2012	100	Design application filing fee	} 430/215	_____
1112	100	2112	50	Design search fee		_____
1312	130	2312	65	Design examination fee		_____
1013	200	2013	100	Plant filing fee	} 660/330	_____
1113	300	2113	150	Plant search fee		_____
1313	160	2313	80	Plant examination fee		_____
1004	300	2004	150	Reissue filing fee	} 1,400/700	_____
1114	500	2114	250	Reissue search fee		_____
1314	600	2314	300	Reissue examination fee		_____
1005	200	2005	100	Provisional application filing fee		_____
<b>SUBTOTAL (1) \$</b>						_____

**2. EXCESS CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fees Paid (\$)</u>
<b>Total Claims</b> _____ - 20 or HP = _____ HP = highest number of total claims paid for, if greater than 20	X	_____	= _____
<b>Independent Claims</b> _____ - 3 or HP = _____ HP = highest number of independent claims paid for, if greater than 3	X	_____	= _____
<b>Multiple Dependent Claims</b>		_____	= _____

<u>Large Entity</u>		<u>Small Entity</u>		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$ 0**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each add'l 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	- 100 = <u>0</u>	/ 50 = _____ (round up to whole number)	X _____	_____

<u>Large Entity</u>		<u>Small Entity</u>		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec &amp; drawings except sequences &amp; program listings):</u>
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ \_\_\_\_\_

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

					<u>Fees Paid (\$)</u>
Non-English Specification, \$130 fee (no small entity discount)					_____
<u>Large Entity</u>		<u>Small Entity</u>			
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	<u>Fee Description</u>	
1051	130	2051	65	Surcharge - late filing fee or oath	_____
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	_____
1053	130	1053	130	Non-English specification	_____
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	_____
1813	8,800	1813	8,800	Request for inter parties reexamination	_____
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	_____
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	_____
1251	120	2251	60	Extension for reply within first month	_____
1252	450	2252	225	Extension for reply within second month	_____
1253	1,020	2253	510	Extension for reply within third month	_____
1254	1,590	2254	795	Extension for reply within fourth month	_____
1255	2,160	2255	1,080	Extension for reply within fifth month	_____
1401	500	2401	250	Notice of Appeal	_____
1402	500	2402	250	Filing a brief in support of an appeal	_____
1403	1,000	2403	500	Request for oral hearing	_____
1451	1,510	1451	1,510	Petition to institute a public use proceeding	_____
1452	500	2452	250	Petition to revive - unavoidable	_____
1453	1,500	2453	750	Petition to revive - unintentional	_____
1501	1,400	2501	700	Utility issue fee (or reissue)	1,400.00
1502	800	2502	400	Design issue fee	_____
1503	1100	2503	550	Plant issue fee	_____
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	_____
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	_____
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)	_____
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	_____
1806	180	1806	180	Submission of Information Disclosure Stmt	_____
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	790	2809	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
1814	130	2814	65	Statutory Disclaimer	_____
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
1801	790	2801	395	Request for Continued Examination (RCE)	_____
1802	900	1802	900	Request for expedited examination of a design application	_____
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	_____
1505	300	1505	300	Publication fee for republication	_____
1803	130	1803	130	Request for voluntary publication or republication	_____
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	130.00
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority	_____
Other fee (specify) <u>fee for 10 copies of Issued Patent</u>					30.00
Other fee (specify) _____					_____
<b>SUBTOTAL (4)</b>					<b>\$ 1,600.00</b>

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Michael J. Mallie

Signature: \_\_\_\_\_

Date: 3/20/05Reg. Number: 36,591Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450